

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR §1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 400926

First Named Inventor Tadao YAMAGUCHI

1c841 U.S. PTO
09/709461
11/13/00

APPLICATION ELEMENTS

1. ☒ Transmittal Form ☒ with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 12]
3. ☒ Drawings [Total Sheets 4]
4. ☒ Combined Declaration and Power of Attorney [Total Pages 4]
 - a. ☒ Newly executed
 - b. ☐ Copy from prior application

[Note Box 5 below]

 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy
 - c. ☐ Statement verifying above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
 - ☐ Form PTO-1449
 - ☐ Copies of References
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)
 - ☐ Enclosed
 - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☒ PrintEFS printout
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information in (a) and (b) below:
- (a) ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. _____
Prior application information: Examiner _____; Art Unit: _____
- (b) Preliminary Amendment: Relate Back - 35 USC §120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:
"This is a ☐ continuation ☐ divisional of copending application(s)
☐ Application No. _____, filed on _____,
☐ International Application _____, filed on _____, and which designates the U.S."

APPLICATION FEES

APPLICATION FEES				\$710.00
BASIC FEE				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	10 -20=	0	x \$18.00	\$0.00
Independent Claims	4 - 3=	1	x \$80.00	\$80.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+ \$270.00	\$
Total of above calculations =				\$790.00
Reduction by 50% for filing by small entity =				\$()
<input checked="" type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$40.00
TOTAL =				\$830.00

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19. ☐ Please charge my Deposit Account No. 12-1216 in the amount of \$.
20. ☒ A check in the amount of \$830.00 is enclosed.
21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR §1.16.
- b. ☐ Fees required under 37 CFR §1.17.
22. ☐ The Commissioner is hereby generally authorized under 37 CFR §1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR §1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

23. CORRESPONDENCE ADDRESS

**23548**

PATENT TRADEMARK OFFICE

Name	Jeffrey A. Wyand; Reg. No. 29,458
Signature	
Date	November 13, 2000

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09/709461

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00211-13100000

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/14/2000 VYANG1 00000089 09709461

01 FC:101	710.00 OP
02 FC:102	80.00 OP

PTO-1556
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